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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/578,470	04/18/2008	Jacques Bollekens	33264-US-PCT	7026
75074 7590 06/10/2010 NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH, INC.		EXAMINER		
220 MASSACHUSETTS AVENUE			SAOUD, CHRISTINE J	
CAMBRIDGE, MA 02139			ART UNIT	PAPER NUMBER
			1647	
			NOTIFICATION DATE	DELIVERY MODE
			06/10/2010	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

NIBR.MAILDATA@NOVARTIS.COM PATRICIA.HOFSTETTER@NOVARTIS.COM

	Application No.	Applicant(s)			
	10/578,470	BOLLEKENS ET AL.			
Office Action Summary	Examiner	Art Unit			
	Christine J. Saoud	1647			
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DOWN THE STORM THE MAILING TH	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	Lely filed the mailing date of this communication. (35 U.S.C. § 133).			
Status					
Responsive to communication(s) filed on 10 M     This action is <b>FINAL</b> . 2b) ☐ This     Since this application is in condition for alloware closed in accordance with the practice under E	action is non-final.				
Disposition of Claims					
4)  Claim(s) 1-42 is/are pending in the application.  4a) Of the above claim(s) 1-17 and 29-42 is/are  5)  Claim(s) is/are allowed.  6)  Claim(s) 18-28 is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/o  Application Papers  9)  The specification is objected to by the Examine  10)  The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct	e withdrawn from consideration.  r election requirement.  er.  epted or b)⊠ objected to by the Edrawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 4/14/08.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite			

### **DETAILED ACTION**

Applicant's amendment filed 10 March 2010 has been received and entered. Claims 1, 4-19, 21-22, 24-25, 27-29, 32, 35-37, and 39-40 have been amended. Applicant states that claims 18-42 are now pending at page 7 of the response. Applicant is incorrect in that claims 1-42 are currently pending. NOTE: a claim is considered pending if it is not canceled.

#### Election/Restrictions

Applicant's election with traverse of Group III in the reply filed on 10 March 2010 is acknowledged. The traversal is on the ground(s) that Groups IV and V are directed to methods of treating a disease using the same polypeptides as defined in Group III and Groups III, IV and V all share the common feature of relating to the same group of polypeptides. This is not found persuasive because the claims were found to lack unity of invention because there were directed to multiple distinct processes. With regard to Groups III-V, these groups are directed to distinct methods: Group III is a method of making a medicament, Group IV is directed to a method of treating a disease associated with deregulated angiogenesis and Group V is directed to a method of treatment of a cell proliferative disorder. Rejoinder is limited to rejoining claims when there is an allowable product and when there are pending methods which are directed to methods of using an allowable product. The elected claims are directed to methods, therefore the claims would not be subject to possible rejoinder.

The requirement is still deemed proper and is therefore made FINAL.

Applicant's amendment to claims 24-28 is acceptable and claims 24-28 have been grouped with Group III for examination purposes.

Claims 1-17 and 29-42 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 10 March 2010.

#### Information Disclosure Statement

The information disclosure statement (IDS) submitted on 14 April 2008 is in compliance with the provisions of 37 CFR 1.97 and has been considered by the examiner. Two references were lined through because they were in an improper format as they were missing necessary dates.

### **Drawings**

The drawings are objected to because Figure 1 contains amino acid sequences which do not have a corresponding Sequence Identifier. 37 CFR 1.821(d) requires "Where the description or claims of a patent application discuss a sequence that is set forth in the "Sequence Listing" in accordance with paragraph (c) of this section, reference must be made to the sequence by use of the sequence identifier, preceded by "SEQ ID NO:" in the text of the description or claims, even if the sequence is also embedded in the text of the description or claims of the patent application". The

Sequence Identifier may be added to either the drawing or in the text of the Brief Description of the Drawings.

If the Sequence Identifiers are added to the drawings, corrected drawing sheets in compliance with 37 CFR 1.121(d) will be required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Specification

Applicant is reminded of the proper content of an abstract of the disclosure.

A patent abstract is a concise statement of the technical disclosure of the patent and should include that which is new in the art to which the invention pertains.

The abstract should not refer to purported merits or speculative applications of the invention and should not compare the invention with the prior art.

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The abstract of the disclosure is objected to because it is not directed to the elected invention. Correction is required. See MPEP § 608.01(b).

The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

## Claim Rejections - 35 USC §§ 101 and 112

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 18-28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 18-28 provides for the use of a polypeptide, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claims 18-28 are rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under

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35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd.* v. *Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Pat. No. 7,223,563 – Econs et al. – filed 7/10/2001.

#### Conclusion

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christine J. Saoud whose telephone number is 571-272-0891. The examiner can normally be reached on Monday-Friday, 6AM-2PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Nickol can be reached on 571-272-0835. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christine J Saoud/ Primary Examiner, Art Unit 1647

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